



**COMPLIANCE REPORT ON SHAREHOLDING PATTERN**  
Under Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1. Name of Listed Entity : **Kothari Sugars and Chemicals Limited**
2. Scrip Code/Name of Scrip/Class of Security : **KOTARISUG / EQUITY**
3. Share Holding Pattern Filed under : **Regulation 31(1)(b)**
4. Quarter ended : **September 30, 2019**


5. **Declaration:** The company declare the following to the extent of submission of information:-

Sl. No.	Particulars	Yes	No
(i)	Whether the Listed Entity has issued any partly paid up shares?	-	✓
(ii)	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	✓
(iii)	Whether the Listed Entity has any shares against which depository receipts are issued?	-	✓
(iv)	Whether the Listed Entity has any shares in locked-in?	-	✓
(v)	Whether any shares held by promoters are pledge or otherwise encumbered?	-	✓
(vi)	Whether the listed entity has any significant beneficial owner?	✓	-



6. The tabular format for disclosure of holding of specified securities is as follows:-

**Table I:** Summary Statement holding of Specified Securities

	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V)+ (VI)	Share holding as a % of total no. of shares (calculated as per SCRR 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of shares Underlying Out standing convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities(as a % of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	 Number of Locked in shares (XII)				Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights			Total as a % of (A+B+C)			N o.	as a % of total share held	N o.	as a % of total share held		
								Class equity	class y	Total									
(A)	Promoter & Promoter Group	4	6,09,07,533	0	0	6,09,07,533	73.48	6,09,07,533	0	6,09,07,533	73.48	0	73.48	0	0	0	0	6,09,07,533	
(B)	Public	23,183	2,19,81,047	0	0	2,19,81,047	26.52	2,19,81,047	0	2,19,81,047	26.52	0	26.52	0	0	0	0	2,18,92,712	
(C)	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(C2)	Shares held by employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Total	23,187	8,28,88,580	0	0	8,28,88,580	100	8,28,88,580	0	8,28,88,580	100	0	100	0	0	0	0	8,28,00,245	

**Table II:** Statement showing shareholding pattern of the Promoter and Promoter Group

	Category of shareholder (I)	PAN (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) as a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Share holding , as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No. of Voting Rights			total as a % of total Voting rights			N o.	As a % of total Shares held	N o.	As a % of total share held	
									Class Equity	class y	Total								
(1)	Indian																		
(a)	Individuals/ Hindu undivided Family		3	27,20,923	0	0	27,20,923	3.28	27,20,923	0	27,20,923	3.28	0	3.28	0	0	0	0	27,20,923
	Nina Bhadrashyam Kothari			26,83,498	0	0	26,83,498	3.24	26,83,498	0	26,83,498	3.24	0	3.24	0	0	0	0	26,83,498
	Nayantara B.Kothari			23,025	0	0	23,025	0.03	23,025	0	23,025	0.03	0	0.03	0	0	0	0	23,025
	Arjun B.Kothari			14,400	0	0	14,400	0.01	14,400	0	14,400	0.01	0	0.01	0	0	0	0	14,400
(b)	Central / State Government(s)			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0





(d)	any other Bodies Corporate		1	5,81,86,610	0	0	5,81,86,610	70.20	5,81,86,610	0	5,81,86,610	70.20	0	70.20	0	0	0	5,81,86,610
	Parvathi Trading and Finance Company Pvt.Ltd			5,81,86,610	0	0	5,81,86,610	70.20	5,81,86,610	0	5,81,86,610	70.20	0	70.20	0	0	0	5,81,86,610
	<b>Sub-Total (A)(1)</b>		<b>4</b>	<b>6,09,07,533</b>	<b>0</b>	<b>0</b>	<b>6,09,07,533</b>	<b>73.48</b>	<b>6,09,07,533</b>	<b>0</b>	<b>6,09,07,533</b>	<b>73.48</b>	<b>0</b>	<b>73.48</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>6,09,07,533</b>
(2)	Foreign																	
(a)	Individuals(NRI/ Foreign Individuals)			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Government			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Institutions			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign portfolio investor			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any other(specify)			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	<b>Sub-Total (A)(2)</b>			<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>		<b>4</b>	<b>6,09,07,533</b>	<b>0</b>	<b>0</b>	<b>6,09,07,533</b>	<b>73.48</b>	<b>6,09,07,533</b>	<b>0</b>	<b>6,09,07,533</b>	<b>73.48</b>	<b>0</b>	<b>73.48</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>6,09,07,533</b>



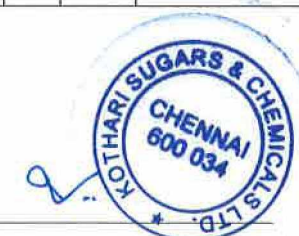
**Table III:** Statement showing shareholding pattern of the Public Shareholder

	Category of shareholder (I)	PAN (II)	Nos . of sha reholde rs (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underly ing Deposit ory Receipt s (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Share holding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) as a % of(A+B+C 2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Under lying Out standing convertible securities (including Warrants) (X)	Total share holding , as a % assuming full conversion of convertible securities (as a % of diluted share capital (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwi se encumb ered (XIII)	Number of equity shares held in demateria lized form (XIV)		
									No. of Voting Rights			Total as a % of (A+B +C)			N o *	as a % of total Shares held	N o *	as a % of total shares held	
									class equity	class y	total								
(1)	Institutions																		
(a)	Mutual Funds		7	325	0	0	325	0.00	325	0	325	0.00	0	0.00	0	0	NA	35	
(b)	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(c)	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(e)	Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(f)	Financial Institutions/ Banks		3	33	0	0	33	0	33	0	33	0	0	0	0	0	NA	0	
(g)	Insurance Companies		1	22,56,788	0	0	22,56,788	2.72	22,56,788	0	22,56,788	2.72	0	2.72	0	0	NA	22,56,788	
	Life insurance Corporation of India			22,56,788	0	0	22,56,788	2.72	22,56,788	0	22,56,788	2.72	0	2.72	0	0	NA	22,56,788	
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
(i)	any other		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0	
	Sub-Total (B)(1)		11	22,57,146	0	0	22,57,146	2.72	22,57,146	0	22,57,146	2.72	0	2.72	0	0	NA	22,56,823	



**KOTHARI SUGARS AND CHEMICALS LIMITED (KSCL)**


2	Central/ State Government(s) / President of India	2	11,025	0	0	11,025	0.01	11,025	0	11,025	0.01	0	0.01	0	0	NA	675
	<b>Sub-Total (B)(2)</b>	<b>2</b>	<b>11,025</b>	<b>0</b>	<b>0</b>	<b>11,025</b>	<b>0.01</b>	<b>11,025</b>	<b>0</b>	<b>11,025</b>	<b>0.01</b>	<b>0</b>	<b>0.01</b>	<b>0</b>	<b>0</b>	<b>NA</b>	<b>675</b>
(3)	Non-institutions																
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	22,295	96,20,618	0	0	96,20,618	11.61	96,20,618	0	96,20,618	11.61	0	11.61	0	0	NA	95,47,105
	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs	76	40,92,420	0	0	40,92,420	4.94	40,92,420	0	40,92,420	4.94	0	4.94	0	0	NA	40,92,420
(b)	NBFCs registered with RBI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(c)	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(d)	Overseas Depositories (holding DRs)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(e)	any other	799	59,99,838	0	0	59,99,838	7.22	59,99,838	0	59,99,838	7.22	0	7.22	0	0	NA	59,95,689
	Bodies Corporate	274	45,86,623	0	0	45,86,623	5.53	45,86,623	0	45,86,623	5.53	0	5.53	0	0	NA	45,83,039
(i)	JM Financial Service Limited		12,12,045	0	0	12,12,045	1.46	12,12,045	0	12,12,045	1.46	0	1.46	0	0	NA	12,12,045
	Clearing Members	25	43,524	0	0	43,524	0.05	43,524	0	43,524	0.05	0	0.05	0	0	NA	43,524
	Directors & Relatives	3	40,965	0	0	40,965	0.05	40,965	0	40,965	0.05	0	0.05	0	0	NA	40,572
	Foreign Individuals	1	9	0	0	9	0	9	0	9	0	0	0	0	0	NA	9
	Hindu Undivided Families	384	9,98,300	0	0	9,98,300	1.20	9,98,300	0	9,98,300	1.20	0	1.20	0	0	NA	9,98,300
	Non Resident Indians	110	3,29,392	0	0	3,29,392	0.39	3,29,392	0	3,29,392	0.39	0	0.39	0	0	NA	3,29,220
	Trusts	2	1,025	0	0	1,025	0	1,025	0	1,025	0	0	0	0	0	NA	1,025
	<b>Sub-Total (B)(3)</b>	<b>23,170</b>	<b>1,97,12,876</b>	<b>0</b>	<b>0</b>	<b>1,97,12,876</b>	<b>23.78</b>	<b>1,97,12,876</b>	<b>0</b>	<b>1,97,12,876</b>	<b>23.78</b>	<b>0</b>	<b>23.78</b>	<b>0</b>	<b>0</b>	<b>NA</b>	<b>1,96,35,214</b>
	<b>Total Public Share holding (B)= (B)(1)+(B)(2)+(B)(3)</b>	<b>23,183</b>	<b>2,19,81,047</b>	<b>0</b>	<b>0</b>	<b>2,19,81,047</b>	<b>26.52</b>	<b>2,19,81,047</b>	<b>0</b>	<b>2,19,81,047</b>	<b>26.52</b>	<b>0</b>	<b>26.52</b>	<b>0</b>	<b>0</b>	<b>NA</b>	<b>2,18,92,712</b>





**Table IV:** Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

	Category of Shareholder  (I)	PA N  (II)	Nos. of share holder s  (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities  (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total share holding , as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)	Number of Locked in shares  (XII)		Number of Shares pledged or otherwise encumbered  (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No.of Voting Rights			Total as a % of (A+B +C)			No.	as a % of total shares held	N o.	as a % of total shares held	
									class equity	class y	total								
(1)	Custodian /DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(a)	Name of DR Holder (if available)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit ) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
	<b>Total Non-Promoter - Non public share holding(C)= (C)(1)+(C)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>NA</b>	<b>0</b>

Table V- Statement showing details of significant beneficial owners

Sl. No.	Details of the significant beneficial owner			Details of the registered owner			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*		Date of creation / acquisition of significant beneficial interest#
	Name	PAN/ Passport No. in case of a foreign national	Nationality	Name	PAN/ Passport No. in case of a foreign national	Nationality	Whether by virtue of		
1	Nina B.Kothari		Indian	Parvathi Trading and Finance Company Pvt.Ltd		Incorporated in India	Shares	70.20%	22.12.2005
							Voting Rights	70.20%	
							Rights on distributable dividend or any other distribution	70.20%	
							Exercise of control	Yes	
							Exercise of significant influence	Yes	
				Nina B.Kothari		Indian	Shares	3.24%	22.12.2005
							Voting Rights	3.24%	
							Rights on distributable dividend or any other distribution	3.24%	
							Exercise of control	Yes	
							Exercise of significant influence	Yes	
2	Arjun B.Kothari		Indian	Parvathi Trading and Finance Company Pvt.Ltd		Incorporated in India	Shares	70.20%	10.04.2015
							Voting Rights	70.20%	
							Rights on distributable dividend or any other distribution	70.20%	
							Exercise of control	Yes	
							Exercise of significant influence	Yes	
				Arjun B.Kothari		Indian	Shares	0.01%	10.04.2015
							Voting Rights	0.01%	
							Rights on distributable dividend or any other distribution	0.01%	
							Exercise of control	Yes	
							Exercise of significant influence	Yes	

\* In case the nature of the holding/ exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

# This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar

